**High Ridge Futures LLC Business Continuity Summary Disclosure Statement:**

High Ridge Futures LLC ("HRF") recognizes that a significant threat exists to its ability to continue normal business operations following a serious unexpected disruptive incident. The organization has a high level of dependency upon its automated systems and processes and this creates risks that need to be mitigated. Certain risks are addressed and mitigated through reliance on available customer information and connectivity through HRF's futures commission merchant relationships.

The organization further recognizes that it needs to recover from disruptive incidents in the minimum possible time and that this necessity to ensure a speedy restoration of services requires a significant level of advance planning and preparation.

HRF is deeply committed to providing our customers with secure and uninterrupted access to their accounts. Our commitment includes providing information regarding the actions to be taken in the event of a significant disruption of business operations due to the impact of a natural disaster, power outage, terrorist action or other adverse event. HRF has designed and implemented what it believes to be reliable Business Continuity architecture based upon three geographically diverse locations. Two of the locations: Stamford and Chicago maintain a "like-for-like" technology infrastructure and personnel redundancy with one primary goal: Mitigation of the impact of a severe disruption in vital services necessary to conduct its business within the highly regulated financial services sector.

HRF has taken steps to minimize the possibility of business interruption by investing heavily in the following:

• **Uninterruptible Power Supplies** feeding all critical computer infrastructures.
• **Diesel Generator (Connecticut)** supporting both UPS systems and office systems for continuous uptime.
• **Diverse Network Routes (Internet & Inter-Office)** avoiding single points of communication failure.
• **Diverse Telecom Systems and Access Points** avoiding any disruption in inbound/outbound telephony services.
• **Cross-Trained Personnel** providing a seamless customer support experience.
• **Off-Site Storage of File and Backups** ensuring recovery and continuity of recordkeeping.
• **Reliable Clearing Relationships** providing customer account support and market access.